

Gateway Area Water Management Council
Project Prioritization Plan

Fiscal Year 2019

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Introduction:

The purpose of this document is to provide a plan to the Kentucky Infrastructure Authority (KIA) for the sponsorship and prioritization of water and wastewater projects pursuant to KRS 151.607, the annual review and prioritization of planning councils' plans. KRS 151.607 states, "After July 1, 2001, and annually thereafter, each area development district shall review and prioritize the planning councils' plans for underserved and unserved areas within the 2020 water management area for that district. The review and prioritization shall be conducted with the assistance and input of the authority and the water management councils for the counties or multicounty areas within a 2020 water management area. These prioritization plans shall be submitted to the authority for review and approval. The authority may suggest changes necessary for the purpose of qualifying for financial assistance from the 2020 water service account of the Kentucky Infrastructure Authority."

Gateway Area Development District (ADD) has developed a data-driven, objective, and collaborative approach to determine the region's water and wastewater funding priorities. The methodology was developed based on input gathered from a Gateway Area Water Management Council sub-committee and will be approved by the full Council. The ranking methodology uses quantitative data to compare the projects under the following categories: Project Impact and Project Status. The methodology also includes collaboration with utility and local leadership throughout the process to help shape regional funding priorities. Through this collaboration, projects will be selected for prioritization via sponsorship into two tiers, Tier I and Tier II.

Project Prioritization:

Tier I Project Sponsorship Process

Each utility receives two (2) Tier I project sponsorships. Projects sponsored in Tier I will be ranked and awarded points based on the sub-categories that comprise the Project Impact and Project Status categories. Utilities having both water and wastewater infrastructure, receive two (2) project sponsorships per regulatory framework (water and wastewater), regardless of the number of systems. A utility's sponsorship may be applied to any like regulatory framework (water or wastewater project) in the region or can go unused.

Utilities notify Gateway ADD staff of the project(s) they wish to sponsor for Tier I prioritization by a date to be determined annually in advance of each utility's respective Planning Unit Ranking Meeting. Gateway ADD staff will review Tier I projects and award points based on the Project Impact and Project Status criteria. A project's Cost per Connection will be the tie breaker. Please refer to Appendix A, Tier I Ranking Sheet, for additional information on the ranking criteria.

Tier I Project Boost Process

To assign additional points during the prioritization process to adjust Planning Unit and Regional priorities, each Planning Unit (county) receives one (1) Water and one (1) Wastewater Turbo-Boost, worth 10 points each, and a defined number of Water and Wastewater Boost(s), based on the number of Sponsorships each Planning Unit receives, worth five (5) points each. A maximum amount of 10 Boost points per project is allowable (one (1) Turbo-Boost or up to two (2) Boosts).

Sponsorships By Planning Unit	Boosts 5 Points
1 - 4	1
5 - 8	2
9 - 12	3

Table 01 - Number of Boosts allotted based on the number of Sponsorships per Planning Unit.

Planning Unit	Water Boosts			Wastewater Boosts		
	Sponsorships	Turbo-Boosts 10 Points	Boosts 5 Points	Sponsorships	Turbo-Boosts 10 points	Boosts 5 Points
Bath	6	1	2	6	1	2
Menifee	4	1	1	4	1	1
Montgomery	12	1	3	4	1	1
Morgan	4	1	1	2	1	1
Rowan	4	1	1	2	1	1

Table 02 - Turbo-Boosts and Boosts breakdown by Planning Unit for Water and Wastewater.

Boosts are applied to projects at the Planning Unit Ranking Meetings. Projects identified and approved by the Water Management Council members, for each respective Planning Unit, as having the greatest need and impact on both a local and regional level receive Boosts. In the event a utility, or designee, is not present during the Planning Unit Ranking Meeting where Boosts are assigned, that utility’s project(s) will not be eligible for a Boost(s).

Tier II Project Sponsorship Process

Each utility will have the option to submit additional projects that were not sponsored in Tier I to the Tier II ranking process. Tier II projects are scored by the utility’s Water Management Council representative at the Planning Unit Ranking Meeting using the Regional Needs Assessment and Scope of Impact (New Connections, Underserved, and Other) ranking guides and a Cost per Connection tie breaker. Tier II projects are not eligible for Boosts. Please refer to Appendix B, Tier II Ranking Sheet, for additional information on the ranking criteria.

Planning Unit Ranking Meetings

Planning Unit Ranking meetings are comprised of Water Management Council members, or designee, for each respective Planning Unit, and will occur at the County Judge-Executive’s office. The Water Management Council Coordinator facilitates the Planning

Unit Ranking Meetings. The purpose of these meetings is to discuss the needs and goals of the Planning Unit, review Tier I Sponsorships, assign Boosts to Tier I projects, and prioritize Tier II projects. Robert's Rules of Order shall govern all questions of the parliamentary proceedings of the Planning Unit Ranking Meetings. A quorum of the Planning Unit Ranking Meetings is defined to mean at least two of the Water Management Council members are present for that respective Planning Unit.

**GATEWAY WATER MANAGEMENT COUNCIL
TIER I PROJECT RANKING SHEET (150 PTS. MAX)**

Project Name:

Project Owner:

Project Number: Total Points Assigned:

PROJECT RANKING

Project Impact **Points Assigned:**

Regional Needs Assessment

Scope of Impact (20 Pts. Max)

New Connections Per Mile

Percent of Existing Customers Served

Number of Jobs Created/Retained

Other (5 Pts.)

Delivery

Regional (20 Pts.)

Non-Regional (5 Pts.)

Project Status **Points Assigned:**

Project Development

Committed Funds

Timeline

Funding Type

Tier I Priority Boost Turbo-Boost (10 Pts.) Boost (5 Pts.)

Tie Breaker - Cost Per Connection \$

PROJECT IMPACT

Points Possible: 60

Regional Needs Assessment (Select One)

WATER		WASTEWATER	
Treatment		Treatment	
New Treatment Plant	10	New Treatment Plant	10
Major Treatment Plant Rehab/Upgrade	20	Major Treatment Plant Rehab/Upgrade	20
Minor Treatment Plant Rehab/Upgrade	15	Minor Treatment Plant Rehab/Upgrade	15
Distribution		Collection	
Rehab or Replacement of Distribution Infrastructure	15	Rehab or Replacement of Collection Infrastructure	15
Upgrade Existing Infrastructure	10	Upgrade Existing Infrastructure	10
Economic Stimulation		Economic Stimulation	
Extension to Unserved Areas	10	Extension to Unserved Areas	20
Green Project	10	Green Project	10
Improve Security Risk	5	Improve Security Risk	5
Meet Regulatory Requirements	15	Meet Regulatory Requirements	15
Maintenance	5	Maintenance	5
Regionalization	15	Regionalization	15
Increase Water Storage Capacity	15	New Collector Sewer & Appurtenances	5
Source Protection	20	Sanitary Sewer Overflow Correction	20
Other	5	Other	5

Scope of Impact (20 Max)

New Connections	Underserved	Economic Stimulation	
≥ 10 New Connections per Mile	> 75% of Customers	> 100 Jobs Created/Retained	20
7 - 9 New Connections per Mile	50% - 75% of Customers	50 - 100 Jobs Created/Retained	15
4 - 6 New Connections per Mile	25% - 49% of Customers	20 - 49 Jobs Created/Retained	10
≤ 3 New Connections per Mile	< 25% of Customers	< 20 Jobs Created/Retained	5
Other			
Project has more than one scope of impact OR			5
Other Scope			5

Delivery (Select One)

Regional	20
Non-Regional	5

PROJECT IMPACT

Points Possible: 80

Project Development (Select One)

Plans & Specs Submitted to DOW for Review	20
PER Completed	15
Engineer Procured OR Cost Estimate Completed by PE	10
Preliminary Planning by System	5

Committed Funds (Select One)

> 50% of Total Project Cost Committed	20
25% - 49% of Total Project Cost Committed	10
< 25% of Total Project Cost Committed OR Pending Application	5

Timeline (Select One)

≤ 5 Years	20
6-10 Years	10
> 10 Years	5

Funding Type (Select One)

Loan	20
Grant/Loan	15
Grant	5

PRIORITY BOOST POINTS

Points Possible: 10

Boosts (Planning Unit Selects One Turbo-Boost or up to Two Mini-Boost(s))

Turbo-Boost	10
Boost	5

**GATEWAY WATER MANAGEMENT COUNCIL
TIER II PROJECT RANKING SHEET (40 PTS. MAX)**

Project Name:

Project Owner:

Project Number:

Total Points Assigned:

PROJECT RANKING

Project Impact

Points Assigned:

Regional Needs Assessment

Scope of Impact (20 Pts. Max)

New Connections Per Mile

Percent of Existing Customers Served

Other

 (5 Pts.)

Tie Breaker - Cost Per Connection

\$

PROJECT IMPACT

Points Possible: 40

Regional Needs Assessment (Select One)

WATER		WASTEWATER	
Treatment		Treatment	
New Treatment Plant	10	New Treatment Plant	10
Major Treatment Plant Rehab/Upgrade	20	Major Treatment Plant Rehab/Upgrade	20
Minor Treatment Plant Rehab/Upgrade	15	Minor Treatment Plant Rehab/Upgrade	15
Distribution		Collection	
Rehab or Replacement of Distribution Infrastructure	15	Rehab or Replacement of Collection Infrastructure	15
Upgrade Existing Infrastructure	10	Upgrade Existing Infrastructure	10
Economic Stimulation		Economic Stimulation	
Extension to Unserved Areas	10	Extension to Unserved Areas	20
Green Project	10	Green Project	10
Improve Security Risk	5	Improve Security Risk	5
Meet Regulatory Requirements	15	Meet Regulatory Requirements	15
Maintenance	5	Maintenance	5
Regionalization	15	Regionalization	15
Increase Water Storage Capacity	15	New Collector Sewer & Appurtenances	5
Source Protection	20	Sanitary Sewer Overflow Correction	20
Other	5	Other	5

Scope of Impact (20 Max)

New Connections	Underserved	
≥ 10 New Connections per Mile	> 75% of Customers	20
7 - 9 New Connections per Mile	50% - 75% of Customers	15
4 - 6 New Connections per Mile	25% - 49% of Customers	10
≤ 3 New Connections per Mile	< 25% of Customers	5
Other		
Project has more than one scope of impact OR		5
Other Scope		5

Appendix C
Tier I Sponsorship and Boost Information Table

Planning Unit	Utility	# of Water Tier I Sponsorships	# of Wastewater Tier I Sponsorships	# of Planning Unit Water Turbo-Boost	# of Planning Unit Water Boost(s)	# of Planning Unit Wastewater Turbo-Boost	# of Planning Unit Wastewater Boost(s)
BATH	Bath County Water District	2	0	1	2	1	2
	Bath County Sanitation District	0	2				
	City of Owingsville	2	2				
	City of Sharpsburg	0	2				
	Sharpsburg Water District	2	0				
MENIFEE	Cave Run Water Commission	2	0	1	1	1	1
	City of Frenchburg	2	2				
	Menifee County Sanitation District #1	0	2				
MONTGOMERY	City of Jeffersonville	2	0	1	3	1	1
	Judy Water Association	2	0				
	Levee Road Water Association	2	0				
	Montgomery County Sanitation District #2	0	2				
	Montgomery County Water District #1	2	0				
	Mount Sterling Water and Sewer	2	2				
	Reid Village Water District	2	0				
MORGAN	City of West Liberty	2	2	1	1	1	1
	Morgan County Water District	2	0				
ROWAN	Morehead Utility Plant Board	2	2	1	1	1	1
	Rowan Water, Inc.	2	0				